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Receipt



PATENTS  
CF-030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.  
Application No. : 10/015,738 Confirmation No. : 3474  
Filed : December 12, 2001  
For : METHODS AND SYSTEMS FOR TRADING OF  
FUTURES CONTRACTS FOR INTANGIBLE ASSETS  
Group Art Unit : 2161  
Examiner : To be Assigned

New York, New York 10020  
February 4, 2002

RECEIVED

Hon. Commissioner for Patents  
Washington, D.C. 20231

APR 19 2002

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a marked-up copy of a Filing Receipt issued by the Patent and Trademark Office in connection with the above-identified patent application. A Non-Publication Request was submitted (copy attached) so a correction indicating that is shown in red on the Filing Receipt.

Accordingly, applicants respectfully request that the Patent and Trademark Office issue a corrected Filing Receipt in which the error is corrected. The error in the Filing Receipt is believed to be the responsibility of the Patent and Trademark Office. However, if for any reason the

error is deemed to be the responsibility of the applicants,  
the Director is hereby authorized to charge any fee that may  
be due in connection with this Request to Deposit Account  
No. 06-1075. A duplicate copy of this Request is enclosed  
herewith.

Respectfully submitted,



Sheila F. McShane

Reg. No. 44,928

Attorney for Applicants

FISH & NEAVE

1251 Avenue of the Americas

New York, New York 10020-1104

Tel.: (212) 596-9000

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February 4, 2002

Claire J. Sainvil  
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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov



CONFIRMATION NO. 3474

Bib Data Sheet

SERIAL NUMBER 10/015,738	FILING DATE 12/12/2001 RULE	CLASS 705	GROUP ART UNIT 2161	ATTORNEY DOCKET NO. CF-030
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## APPLICANTS

Howard W. Lutnick, New York, NY;  
 Glenn D. Kirwin, Scarsdale, NY;  
 Andrew C. Gilbert, Califon, NJ;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/290,712 05/14/2001

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 01/22/2002

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 8	TOTAL CLAIMS 23	INDEPENDENT CLAIMS 4.
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature Initials				

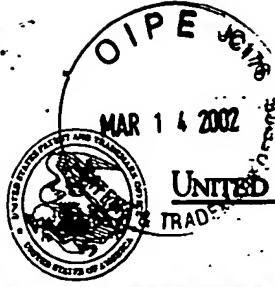
## ADDRESS

1473

## TITLE

Methods and systems for trading futures contracts for intangible assets

FILING FEE RECEIVED 878	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/015,738	12/12/2001	2161	878	CF-030	8	23	4

CONFIRMATION NO. 3474

1473  
 FISH & NEAVE  
 1251 AVENUE OF THE AMERICAS  
 50TH FLOOR  
 NEW YORK, NY 10020-1105

## FILING RECEIPT



\*OC00000007342503\*

Date Mailed: 01/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Howard W. Lutnick, New York, NY;  
 Glenn D. Kirwin, Scarsdale, NY;  
 Andrew C. Gilbert, Califon, NJ;

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APR 19 2002

Technology Center 2100

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/290,712 05/14/2001

## Foreign Applications

If Required, Foreign Filing License Granted 01/22/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No Yes

## Title

Methods and systems for trading futures contracts for intangible assets

## Preliminary Class

705

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